

Appendix A
Picard v. Ceretti , Adv. Pro. No. 09-01161
Bankruptcy Court Designations

Designation Number	Date	ECF Number (APN 09-01161)	ECF Number (APN 08-01789)	Docket Text
1	3/16/2017	283	15241	Notice of Appeal (related document(s)281) filed by David J. Sheehan on behalf of Irving H. Picard. Appellant Designation due by 3/30/2017, (Attachments: # 1 Exhibit 1-Final Judgment of Bankruptcy Court # 2 Exhibit 2-7/6/2014 Opinion and Order of District Court # 3 Exhibit 3-5/11/2013 Order of District Court # 4 Exhibit 4-6/6/2012 Order of District Court)(Sheehan, David) (Entered: 03/16/2017)
2	3/9/2017	281	15205	So Ordered Stipulation Signed On 3/9/2017. Re: Final Order Granting Motion To Dismiss The Ninth Count Of The Fourth Amended Complaint (Barrett, Chantel) (Entered: 03/09/2017)
3	1/20/2017	279	14927	Memorandum of Law /Joint Memorandum of Law in Support of Motion for Entry of Final Judgment Pursuant to Federal Rule of Civil Procedure 54(b) filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C1-C2 # 4 Exhibit D1-D14 # 5 Exhibit E1-E2 # 6 Exhibit F1-F2 # 7 Exhibit G1-G2 # 8 Exhibit H) (Sheehan, David) (Entered: 01/20/2017)
4	1/20/2017	278	14926	Motion to Allow/Notice of Motion for Entry of Final Judgment Pursuant to Federal Rule of Civil Procedure 54(b) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 01/20/2017)
5	6/10/2016	277	-	Transcript regarding Hearing Held on 06/09/16 at 10:03 am RE: Motion to Compel Defendants to Produce Documents and Participate in Discovery.. Remote electronic access to the transcript is restricted until 9/8/2016. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 6/17/2016. Statement of Redaction Request Due By 7/1/2016. Redacted Transcript Submission Due By 7/11/2016. Transcript access will be restricted through 9/8/2016. (Brown, Tenille) (Entered: 06/15/2016)
6	5/31/2016	273	13437	Declaration / Reply Declaration of Anthony M. Gruppuso, Esq. (related document(s)254, 272, 252, 253) filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D) (Sheehan, David) (Entered: 05/31/2016)
7	5/31/2016	272	13436	Reply to Motion / Reply Memorandum of Law in Support of the Trustee's Motion to Compel Defendants to Produce Documents and Participate in Discovery (related document(s)252) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 05/31/2016)
8	5/20/2016	265	-	Opposition Brief Memorandum of Law in Opposition to the Trustee's Motion to Compel (related document(s)252) filed by Erin E Valentine on behalf of Kingate Management Limited. (Valentine, Erin) (Entered: 05/20/2016)
9	5/20/2016	264	13377	Memorandum of Law in Opposition to the SIPA Trustee's Motion to Compel Defendants to Produce Documents and Participate in Discovery (related document(s)252) filed by Timothy P. Harkness on behalf of First Peninsula Trustees Limited, Individually and as Trustee of The Ashby Trust, Alpine Trustees Limited, Ashby Holding Services Limited, Ashby Investment Services Limited, El Praela Group Holding Services, El Praela Trading Investments Limited, El Praela Trust, Port of Hercules Ltd., The Ashby Trust. (Harkness, Timothy) (Entered: 05/20/2016)
10	5/20/2016	263	13384	Declaration of Robert Loigman in Support of the Kingate Funds' Opposition to the Trustee's Motion to Compel Discovery (related document(s)252, 262) filed by Robert S Loigman on behalf of Kingate Euro Fund, Ltd., Kingate Global Fund, Ltd.. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10 Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13) (Loigman, Robert) (Entered: 05/20/2016)
11	5/20/2016	262	13383	Memorandum of Law /The Kingate Funds' Memorandum of Law in Opposition to the Trustee's Motion to Compel Discovery (related document(s)252) filed by Robert S Loigman on behalf of Kingate Euro Fund, Ltd., Kingate Global Fund, Ltd.. (Loigman, Robert) (Entered: 05/20/2016)
12	5/20/2016	261	13380	Declaration of Jodi Kleinick in Support of FIM Defendants' Opposition to Motion to Compel (related document(s)252) filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8) (Wetzler, Mor) (Entered: 05/20/2016)
13	5/20/2016	260	13379	Declaration of Sarah-Jane Hurrion (related document(s)252) filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Attachments: # 1 Exhibit 1) (Wetzler, Mor) (Entered: 05/20/2016)
14	5/20/2016	259	13385	Opposition Brief Memorandum of Law in Opposition to the Trustee's Motion to Compel (related document(s)252) filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Wetzler, Mor) (Entered: 05/20/2016)
15	5/20/2016	258	13378	Memorandum of Law in Opposition to Trustee's Motion to Compel Defendants to Participate in Discovery, dated May 20, 2016. (related document(s)252) filed by Carmine Boccuzzi on behalf of Citi Hedge Fund Services Limited, HSBC Bank Bermuda Limited. (Boccuzzi, Carmine) (Entered: 05/20/2016)

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Picard v. Ceretti , Adv. Pro. No. 09-01161
Bankruptcy Court Designations

Designation Number	Date	ECF Number (APN 09-01161)	ECF Number (APN 08-01789)	Docket Text
16	4/27/2016	256	13176	Notice of Hearing [Rescheduled] on Trustee's Motion to Compel Defendants to Produce Documents and Participate in Discovery (related document(s)254, 255, 252, 253) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 6/7/2016 at 10:00 AM at Courtroom 723 (SMB) Objections due by 5/20/2016, (Sheehan, David) (Entered: 04/27/2016)
17	4/15/2016	254	13100	Declaration of Anthony M. Gruppuso, Esq. (related document(s)252) filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H # 9 Exhibit I # 10 Exhibit J # 11 Exhibit K # 12 Exhibit L # 13 Exhibit M # 14 Exhibit N # 15 Exhibit O # 16 Exhibit P # 17 Errata Q # 18 Exhibit R # 19 Exhibit S # 20 Exhibit T # 21 Exhibit U # 22 Exhibit V # 23 Exhibit W # 24 Exhibit X # 25 Exhibit Y # 26 Exhibit Z # 27 Exhibit AA # 28 Exhibit BB # 29 Exhibit CC # 30 Exhibit DD # 31 Exhibit EE # 32 Exhibit FF) (Sheehan, David) (Entered: 04/15/2016)
18	4/15/2016	253	13099	Memorandum of Law in Support of the Trustee's Motion to Compel Defendants to Produce Documents and Participate in Discovery (related document(s)252) filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Sheehan, David) (Entered: 04/15/2016)
19	4/15/2016	252	13098	Motion to Compel /Notice of Trustee's Motion to Compel Defendants to Produce Documents and Participate in Discovery filed by David J. Sheehan on behalf of Irving H. Picard with hearing to be held on 5/18/2016 at 10:00 AM at Courtroom 723 (SMB). (Attachments: # 1 Proposed Order) (Sheehan, David) (Entered: 04/15/2016)
20	4/7/2016	—	13051	Letter to Judge Bernstein regarding Extraterritoriality Briefing Supplemental Authority Filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 04/07/2016)
21	2/17/2016	—	12798	Transcript regarding Hearing Held on 12/16/2015 10:33 AM RE: Motion to Allow - Trustees Fourth Omnibus Motion to Overrule Objections of Claimants Who Invested More than They Withdrew; Motion to Allow - Trustees Fifth Omnibus Motion to Disallow Claims and Overrule Objections of Claimants Who Have No Net Equity;Omnibus Extraterritoriality Motion. Remote electronic access to the transcript is restricted until 5/31/2016. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 3/8/2016. Statement of Redaction Request Due By 3/22/2016. Redacted Transcript Submission Due By 4/1/2016. Transcript access will be restricted through 5/31/2016. (Ortiz, Carmen) Modified on 3/14/2016 to correct hearing date(Acosta, Annys). (Entered: 03/09/2016)
22	12/15/2015	—	12270	Letter / December 15, 2015 Letter to Judge Bernstein Regarding Extraterritoriality Proceedings Filed by Thomas L. Long on behalf of Irving H. Picard. (Long, Thomas) (Entered: 12/15/2015)
23	12/15/2015	—	12259	Letter to Judge Stuart M. Bernstein Re: Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Onnibus Motion for Leave to Replead and for Limited Discovery, As Amended Filed by Robinson B. Lacy on behalf of Banque J. Safra (Suisse) S.A.. (Lacy, Robinson) (Entered: 12/15/2015)
24	11/20/2015	229	12087	Notice of Hearing on Defendants' Motion to Dismiss Based on Extraterritoriality and Trustee's Omnibus Motion For Leave to Replead filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 12/16/2015 at 11:00 AM at Courtroom 723 (SMB) (Attachments: # 1 Exhibit 1)(Sheehan, David) (Entered: 11/20/2015)
25	10/7/2015	—	11696	Letter Re: Extraterritoriality Motion Filed by Robinson B. Lacy on behalf of Banque J. Safra (Suisse) S.A.. (Lacy, Robinson) (Entered: 10/07/2015)
26	9/30/2015	222	—	Declaration of Andrew Witts filed by Timothy P. Harkness on behalf of Alpine Trustees Limited, Ashby Holding Services Limited, Ashby Investment Services Limited, El Praela Group Holding Services, El Praela Trading Investments Limited, El Praela Trust, First Peninsula, Port of Hercules Ltd., The Ashby Trust. (Attachments: # 1 Exhibit A) (Harkness, Timothy) (Entered: 09/30/2015)
27	9/30/2015	221	11637	Supplemental Reply to Motion (Trust Defendants' Reply in Support of the Consolidated Supplemental Motion to Dismiss Based on Extraterritoriality) filed by Timothy P. Harkness on behalf of Alpine Trustees Limited, Ashby Holding Services Limited, Ashby Investment Services Limited, El Praela Group Holding Services, El Praela Trading Investments Limited, El Praela Trust, First Peninsula, Port of Hercules Ltd., The Ashby Trust. (Harkness, Timothy) (Entered: 09/30/2015)
28	9/30/2015	220	11639	Declaration of S. Ellie Norton in Support of HSBC Defendants' Separate Memorandum of Law Addressing the Claims in the Kingate, Omnibus, Somers, and SICO Actions and in Further Support of Transferee Defendants' Motion to Dismiss Based on Extraterritoriality, dated September 30, 2015 filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited. (Attachments: # 1 Exhibit A # 2 Exhibit B) (Moloney, Thomas) (Entered: 09/30/2015)

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Bankruptcy Court Designations

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29	9/30/2015	219	11636	Supplemental Reply to Motion /The HSBC Defendants' Separate Memorandum of Law Addressing the Claims in the Kingate, Omnibus, Somers, and SICO Actions and in Further Support of Transferee Defendants' Motion to Dismiss Based on Extraterritoriality, dated September 30, 2015 filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited. (Moloney, Thomas) (Entered: 09/30/2015)
30	9/30/2015	217	11634	Supplemental Reply to Motion /Supplemental Reply Memorandum of Law in Support of Citi Hedge Fund Services Limited's Motion to Dismiss Based on Extraterritoriality, dated September 30, 2015 filed by Carmine Boccuzzi on behalf of Citi Hedge Fund Services Limited. (Boccuzzi, Carmine) (Entered: 09/30/2015)
31	9/30/2015	216	11542	Reply to Motion Reply Consolidated Supplemental Memorandum of Law in Support of Transferee Defendants' Motion to Dismiss Based on Extraterritoriality filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Wetzler, Mor) (Entered: 09/30/2015)
32	9/30/2015	215	11620	Declaration of Jodi Kleinick in Support of FIM Defendants' Reply Memorandum in Further Support of Alleged Subsequent Transferee Defendants' Motion to Dismiss Based on Extraterritoriality filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Attachments: # 1 Exhibit Fourth Amended Complaint # 2 Exhibit Third Amended Complaint # 3 Exhibit Summary of Allegations # 4 Exhibit Sanctions Motion Hearing Transcript) (Wetzler, Mor) (Entered: 09/30/2015)
33	9/30/2015	214	11615	Reply to Motion Reply Memorandum of Law on Behalf of FIM Limited, FIM Advisers, Carlo Grosso and Federico Ceretti in Further Support of Alleged Subsequent Transferee Defendants' Motion to Dismiss Based on Extraterritoriality filed by Mor Wetzler on behalf of Federico Ceretti, FIM Advisers LLP, FIM Limited, Carlo Grosso. (Wetzler, Mor) (Entered: 09/30/2015)
34	9/30/2015	213	11599	Declaration of Ted R. Debonis in Support of Defendant Kingate Management Limited's Supplemental Reply Memorandum in Support of Its Motion to Dismiss Based on Extraterritoriality filed by Scott W. Reynolds on behalf of Kingate Management Limited. (Attachments: # 1 Exhibit 1 - Order # 2 Exhibit 2 - Writ of Summons # 3 Exhibit 3 - Re-Re-Re-Amended Statement of Claim) (Reynolds, Scott) (Entered: 09/30/2015)
35	9/30/2015	212	11596	Reply to Motion Defendant Kingate Management Limited's Supplemental Reply Memorandum in Support of Its Motion to Dismiss Based on Extraterritoriality filed by Scott W. Reynolds on behalf of Kingate Management Limited. (Reynolds, Scott) (Entered: 09/30/2015)
36	9/30/2015	211	11530	Answer to Amended Complaint (Related Doc # 100) filed by Robert S Loigman on behalf of Kingate Euro Fund, Ltd., Kingate Global Fund, Ltd.. (Loigman, Robert) (Entered: 09/30/2015)
37	6/26/2015	195	-	Response /Addendum to the Trustee's Opposition on the Extraterritoriality Issue for Ceretti, et. al. (Kingate) (related document(s)194) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 06/26/2015)
38	6/26/2015	194	12087	Opposition Brief /Trustee's Memorandum of Law in Opposition to the Transferee Defendants' Motion to Dismiss Based on Extraterritoriality and in Further Support of Trustee's Motion for Leave to Amend Complaints filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2) (Sheehan, David) (Entered: 06/26/2015)
39	4/1/2015	-	9720	So Ordered Third Stipulation Signed On 3/31/2015. Re: Modifying The Order Concerning Further Proceedings OnExtraterritoriality Motion And Trustees Omnibus Motion For Leave To Replead And For Limited Discovery (Greene, Chantel) (Entered: 04/01/2015)
40	3/19/2015	-	9706	Transcript regarding Hearing Held on 3/18/2015 2:05PM RE: Conference re Confidentiality Issues Related to Extraterritoriality Submission. Remote electronic access to the transcript is restricted until 6/17/2015. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 3/26/2015. Statement of Redaction Request Due By 4/9/2015. Redacted Transcript Submission Due By 4/20/2015. Transcript access will be restricted through 6/17/2015. (Ortiz, Carmen) (Entered: 03/31/2015)
41	3/17/2015	178	9504	Letter /Trustee's Supplemental Letter Regarding Confidentiality Designations Affecting The Trustees Extraterritoriality Submission (related document(s)173) Filed by Karin Scholz Jensen on behalf of Irving H. Picard. (Scholz Jensen, Karin) (Entered: 03/17/2015)
42	3/10/2015	175	9449	Notice of Hearing /Notice of Conference On Trustee's Letter Regarding Confidentiality Designations Affecting the Trustee's Extraterritoriality Submission (related document(s)173) filed by Regina Griffin on behalf of Irving H. Picard. with hearing to be held on 3/18/2015 at 02:00 PM at Courtroom 723 (SMB) (Griffin, Regina) (Entered: 03/10/2015)
43	3/4/2015	173	9413	Letter Regarding Confidentiality Designations Affecting The Trustees Extraterritoriality Submission Filed by Karin Scholz Jensen on behalf of Irving H. Picard. (Scholz Jensen, Karin) (Entered: 03/04/2015)

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Picard v. Ceretti , Adv. Pro. No. 09-01161
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44	3/2/2015	—	9542	Transcript regarding Hearing Held on 11/19/2014 9:59AM RE: Hearing on presentment of order concerning further proceedings on extraterritoriality motion and trustee's omnibus motion for leave to replead and for limited discovery. Remote electronic access to the transcript is restricted until 6/1/2015. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: VERITEXT REPORTING COMPANY.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 3/9/2015. Statement of Redaction Request Due By 3/23/2015. Redacted Transcript Submission Due By 4/2/2015. Transcript access will be restricted through 6/1/2015. (Ortiz, Carmen) (Entered: 03/20/2015)
45	2/24/2015	—	9350	Second Stipulation And Order Signed On 2/24/2015 Re: Modifying The Order Concerning Further Proceedings OnExtraterritoriality Motion And Trustees Omnibus Motion For Leave To Replead And For Limited Discovery . (Greene, Chantel) (Entered: 02/24/2015)
46	1/14/2015	159	8991	Transcript regarding Hearing Held on 12/17/2014 10:02AM RE: Motion to Dismiss. Remote electronic access to the transcript is restricted until 3/18/2015. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 12/29/2014. Statement of Redaction Request Due By 1/8/2015. Redacted Transcript Submission Due By 1/20/2015. Transcript access will be restricted through 3/18/2015. (Ortiz, Carmen) (Entered: 01/14/2015)
47	1/14/2015	—	8990	So Ordered Stipulation Signed On 1/13/2015. Re: Modifying TheOrder Concerning Further Proceedings OnExtraterritoriality Motion And Trustees Omnibus Motion For Leave To Replead And For Limited Discovery (Greene, Chantel) (Entered: 01/14/2015)
48	1/5/2015	158	8903	Supplemental Memorandum of Law (Consolidated) in Support of the Transferee Defendants' Motion to Dismiss Based on Extraterritoriality filed by Carmine Boccuzzi on behalf of Citi Hedge Fund Services Limited. (Boccuzzi, Carmine) (Entered: 01/05/2015)
49	12/18/2014	156	8800	So Ordered Order Signed On 12/10/2014, Re: Concerning Further Proceedings On Extraterritoriality Motion And Trustees Omnibus Motion For Leave To Replead And For Limited Discovery (Richards, Beverly). (Entered: 12/18/2014)
50	12/2/2014	149	8630	Notice of Presentment of Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery and Opportunity for Hearing (related document(s)130) filed by David J. Sheehan on behalf of Irving H. Picard. with presentment to be held on 12/10/2014 at 12:00 PM at Courtroom 723 (SMB) Objections due by 12/5/2014, (Attachments: # 1 Exhibit A # 2 Exhibit B)(Sheehan, David) (Entered: 12/02/2014)
51	11/18/2014	143	8500	Statement / Trustee's Statement regarding Amendments to Exhibits to Proposed Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery (related document(s)130) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 11/19/2014 at 10:00 AM at Courtroom 723 (SMB) (Attachments: # 1 Exhibit 1-4) (Sheehan, David) (Entered: 11/18/2014)
52	11/12/2014	134	8440	Response / Trustee's Response to Limited Objections to Proposed Order Concerning Further Proceedings on Extraterritoriality Motion and Trustees Omnibus Motion for Leave to Replead and for Limited Discovery (related document(s)130) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 11/19/2014 at 10:00 AM at Courtroom 723 (SMB) (Sheehan, David) (Entered: 11/12/2014)
53	11/11/2014	—	8636	Transcript regarding Hearing Held on 9/17/2014 1:32PM RE: Status Conference re Affect Dist. Ct. Extraterritoriality Order; Becker & Poliakoff LLP Motions to Dismiss and Motions to Dismiss Listed on Appendix A to the Trustees February 20 Letter to the Court, as amended. Remote electronic access to the transcript is restricted until 2/9/2015. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: VERITEXT REPORTING COMPANY.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 11/18/2014. Statement of Redaction Request Due By 12/2/2014. Redacted Transcript Submission Due By 12/12/2014. Transcript access will be restricted through 2/9/2015. (Ortiz, Carmen) (Entered: 12/02/2014)
54	11/7/2014	132	8390	Notice of Hearing on Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery and Opportunity for Hearing (related document(s)130) filed by David J. Sheehan on behalf of Irving H. Picard. with hearing to be held on 11/19/2014 at 10:00 AM at Courtroom 723 (SMB) (Sheehan, David) (Entered: 11/07/2014)
55	11/3/2014	—	8345	Statement of Defendants Legacy Capital Ltd., Isaac Jimmy Mayer, Rafael Mayer, David Mayer, Khronos LLC, Khronos Capital Research LLC and Montpellier Resources Ltd. in Response to Proposed Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery (related document(s)8249) filed by Eric Fisher on behalf of Khronos Capital Research LLC, Khronos LLC, David Mayer, Rafael Mayer. (Fisher, Eric) (Entered: 11/03/2014)

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Picard v. Ceretti , Adv. Pro. No. 09-01161
Bankruptcy Court Designations

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56	11/3/2014	—	8343	Objection to Motion LIMITED OBJECTION OF MERRILL LYNCH INTERNATIONAL TO THE TRUSTEES PRESENTMENT OF ORDER CONCERNING FURTHER PROCEEDINGS ON EXTRATERRITORIALITY MOTION AND TRUSTEES OMNIBUS MOTION FOR LEAVE TO REPLEAD AND FOR LIMITED DISCOVERY (related document(s)7834) filed by Anthony D. Boccanfuso on behalf of Merrill Lynch International. (Boccanfuso, Anthony) (Entered: 11/03/2014)
57	11/3/2014	—	8342	Objection / Limited Objection of UBS Defendants to the Trustee's Presentment of Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery (related document(s)8249) filed by Marshall R. King on behalf of UBS Third Party Management Company SA, UBS Fund Services (Luxembourg) SA, UBS (Luxembourg) S.A., UBS AG. (King, Marshall) (Entered: 11/03/2014)
58	10/23/2014	130	8249	Notice of Presentment of Order Concerning Further Proceedings on Extraterritoriality Motion and Trustee's Omnibus Motion for Leave to Replead and for Limited Discovery and Opportunity for Hearing filed by Regina Griffin on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A)(Griffin, Regina) (Entered: 10/23/2014)
59	10/2/2014	—	8060	Letter /October 2, 2014 Letter to Judge Bernstein regarding Trustee's Omnibus Motion for Leave to Replead and Defendants' Request for Further Proceedings on Extraterritoriality Motion (related document(s)7826) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 10/02/2014)
60	8/22/2014	—	7766	Letter Requesting Conference filed by Robinson B. Lacy on behalf of Banque J. Safra (Suisse) S.A.. (Lacy, Robinson) (Entered: 08/22/2014)
61	7/29/2014	—	7547	Order of U.S. District Court Judge Jed S. Rakoff signed on 7/7/2014. In sum, the Court finds that section 550(a) does not apply extraterritorially to allow for the recovery of subsequent transfers received abroad by a foreign transferee from a foreign transferor. Therefore, the Trustee's recovery claims are dismissed to the extent that they seek to recover purely foreign transfers. Except to the extent provided in other orders, the Court directs that the following adversary proceedings be returned to the Bankruptcy Court for further proceedings consistent with this Opinion and Order: (1) those cases listed in Exhibit A of item number 167 on the docket of 12-mc-115; and (2) those cases listed in the schedule attached to item number 468 on the docket of 12-mc-115 that were designated as having been added to the "extraterritoriality" consolidated briefing. SO ORDERED. (Savinon, Tiffany) (Entered: 07/29/2014)
62	4/1/2014	102	6144	Transcript regarding Hearing Held on 3/13/2014 10:42AM RE: Motion for leave to file fourth amended complaint. Remote electronic access to the transcript is restricted until 6/30/2014. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: VERITEXT REPORTING COMPANY.]. (See the Courts Website for contact information for the Transcription Service Agency.) (RE: related document(s) 96). Notice of Intent to Request Redaction Deadline Due By 4/8/2014. Statement of Redaction Request Due By 4/22/2014. Redacted Transcript Submission Due By 5/2/2014. Transcript access will be restricted through 6/30/2014. (Ortiz, Carmen) (Entered: 04/08/2014)
63	3/17/2014	100	5879	Amended Complaint against all defendants (Fourth Amended) Filed by David J. Sheehan on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A # 2 Exhibit B) (Sheehan, David) (Entered: 03/17/2014)
64	3/17/2014	99	5907	Order Signed On 3/17/2014 Re: Granting Motion For Leave To File A Fourth Amended Complaint(Related Doc # 96) . (Greene, Chantel) (Entered: 03/17/2014)
65	2/18/2014	97	5665	Memorandum of Law /Memorandum in Support of Motion for Leave to File a Fourth Amended Complaint (related document(s)96) filed by David J. Sheehan on behalf of Irving H. Picard. (Sheehan, David) (Entered: 02/18/2014)
66	2/18/2014	96	5664	Motion to Amend /Notice of Motion and Motion for Entry of Order Pursuant to Rule 15 of the Federal Rules of Civil Procedure, as Incorporated by Rule 7015 of the Federal Rules of Bankruptcy Procedure, Granting Motion for Leave to File Fourth Amended Complaint filed by David J. Sheehan on behalf of Irving H. Picard with hearing to be held on 3/13/2014 at 10:30 AM at Courtroom 723 (SMB). (Attachments: # 1 Exhibit 1) (Sheehan, David) (Entered: 02/18/2014)

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Designation Number	Date	ECF Number (APN 09-01161)	ECF Number (APN 08-01789)	Docket Text
67	6/8/2012	—	4883	<p>[PART 1] Order of U.S. District Court Judge Jed S. Rakoff signed on 6/6/2012. The reference of the Adversary Proceedings listed in Exhibit A is withdrawn, in part, from the Bankruptcy Court to this Court solely with respect to the Extraterritoriality Defendants for the limited purpose of hearing and determining whether SIP A and/or the Bankruptcy Code as incorporated by SIPA apply extraterritorially, permitting the Trustee to avoid the initial Transfers that were received abroad or to recover from initial, immediate or mediate foreign transferees. Except as otherwise provided herein or in other orders of this Court, the reference to the Bankruptcy Court is otherwise maintained for all other purposes. The Trustee and SIPC are deemed to have raised, in response to all pending motions for withdrawal of the reference based on the Extraterritoriality Issue, all arguments previously raised by either or both of them in opposition to all such motions granted by the Extraterritoriality Withdrawal Ruling, and such objections or arguments are deemed to be overruled, solely with respect to the Extraterritoriality Issue, for the reasons stated in the Extraterritoriality Withdrawal Ruling. All objections that could be raised by the Trustee and/or SIPC to the pending motions to withdraw the reference in the Adversary Proceedings, and the defenses and responses thereto that may be raised by the affected defendants, are deemed preserved on all matters. On or before July 13, 2012, the Extraterritoriality Defendants shall file a single consolidated motion to dismiss pursuant to Fed. R. Civ. P. 12 (made applicable to the Adversary Proceeding by Fed. R. Bankr. P. 7012) and a single consolidated supporting memorandum of law, not to exceed forty (40) pages (together, the "Extraterritoriality Motion to Dismiss"). The Trustee and SIPC shall each file a memorandum of law in opposition to the Extraterritoriality Motion to Dismiss, not to exceed forty (40) pages each, addressing the Extraterritoriality Withdrawal Ruling Issue (the "Trustee's Opposition") on or before August 17, 2012. Young Conaway Stargatt & Taylor, LLP, which is conflicts counsel for the Trustee, and Windels Marx Lane & Mittendorf, LLP, which is special counsel to the Trustee, each may file a joinder, not to exceed two (2) pages (excluding exhibits identifying the relevant adversary proceedings), to the Trustee's Opposition, on behalf of the Trustee in certain of the adversary proceedings listed on Exhibit A hereto on or before August 17, 2012. In either case, the respective joinders may only specify what portions of the Trustee's Opposition are joined and shall not make or offer any additional substantive argument. The Extraterritoriality Defendants shall file one consolidated reply brief, not to exceed twenty (20) pages, on or before August 31, 2012 (the "Reply Brief").</p> <p>[PART 2] In the event the Trustee files an amended complaint (the "Amended Complaint") in any of the Adversary Proceedings after the Extraterritoriality Motion to Dismiss is filed, the Reply Brief shall include a reference (by civil action number and docket number only) to a representative Amended Complaint filed by the Trustee against Extraterritoriality Defendants. Any further requirement that the Amended Complaints subject to the Extraterritoriality Motion to Dismiss be identified or filed is deemed waived and satisfied. In the event the Trustee files an Amended Complaint, he shall, at the time the Amended Complaint is filed, provide the Extraterritoriality Defendants a blackline reflecting the changes made in the Amended Complaint from the then operative complaint. The Court will hold oral argument on the Extraterritoriality Motion to Dismiss on September 21, 2012, at 4:00 p.m. (the "Hearing Date"). On or before August 31, 2012, the Extraterritoriality Defendants shall designate one lead counsel to advocate their position at oral argument on the Hearing Date, but any other attorney who wishes to be heard may appear and so request. The caption displayed on this Order shall be used as the caption for all pleadings, notices and briefs to be filed pursuant to this Order. All communications and documents (including drafts) exchanged between and among any of the defendants in any of the adversary proceedings, and/or their respective attorneys, shall be deemed to be privileged communications and/or work product, as the case may be, subject to a joint interest privilege. This Order is without prejudice to any and all grounds for withdrawal of the reference (other than the Extraterritoriality Issue) raised in the Adversary Proceedings by the Extraterritoriality Defendants and any matter that cannot properly be raised or resolved on a Rule 12 motion, all of which are preserved. Nothing in this Order shall: (a) waive or resolve any issue not specifically raised in the Extraterritoriality Motion to Dismiss; (b) waive or resolve any issue raised or that could be raised by any party other than with respect to the Extraterritoriality Issue, including related issues that cannot be resolved on a motion under Fed. R. Civ. P. 12; or (c) notwithstanding Fed. R. Civ. P. 12(g)(2) or Fed. R. Bankr. P. 7012(g)(2), except as specifically raised in the Extraterritoriality Motion to Dismiss, limit, restrict or impair any defense or argument that has been raised or could be raised by any Extraterritoriality Defendant in a motion to dismiss under Fed. R. Civ. P. 12 or Fed. R. Bankr. P. 7012, or any other defense or right of any nature available to any Extraterritoriality Defendant (including, without limitation, all defenses based on lack of personal jurisdiction or insufficient service of process), or any argument or defense that could be raised by the Trustee or SIPC in response thereto. Nothing in this Order shall constitute an agreement or consent by any Extraterritoriality Defendant to pay the fees and expenses of any attorney other than such defendant's own retained attorney. This paragraph shall not affect or compromise any rights of the Trustee or SIPC.</p> <p>[PART 3] This Order is without prejudice to and preserves all objections of the Trustee and SIPC to timely-filed motions for withdrawal of the reference currently pending before this Court (other than the withdrawal of the reference solely with respect to the Extraterritoriality Issue) 'AJjth respect to the Adversary Proceedings, and the defenses and responses thereto that may be raised by the affected defendants, are deemed preserved on all matters. The procedures established by this Order, or by further Order of this Court, shall constitute the sole and exclusive procedures for determination of the Extraterritoriality Issue in the Adversary Proceedings (except for any appellate practice resulting from such determination), and this Court shall be the forum for such determination. To the extent that briefing or argument schedules were previously established with respect to the Extraterritoriality Issue in any of the Adversary Proceedings, this Order supersedes all such schedules solely with respect to the Extraterritoriality Issue. To the extent that briefing or argument schedules are prospectively established with respect to motions to withdraw the reference or motions to dismiss in any of the Adversary Proceedings, the Extraterritoriality Issue shall be excluded from such briefing or argument and such order is vacated. For the avoidance of doubt, to the extent any of the Extraterritoriality Defendants have issues other than the Extraterritoriality Issue or issues set forth in the Common Briefing Order that were withdrawn, those issues will continue to be briefed on the schedule previously ordered by the Court. Except as stated in this paragraph, this Order shall not be deemed or construed to modify, withdraw or reverse any prior Order of the Court that granted withdrawal of the reference in any Adversary Proceeding for any reason.(Savinon, Tiffany) (Entered: 06/08/2012)</p>

Appendix A
***Picard v. Ceretti* , Adv. Pro. No. 09-01161**
Bankruptcy Court Designations

Designation Number	Date	ECF Number (APN 09-01161)	ECF Number (APN 08-01789)	Docket Text
68	6/8/2011	32	–	Amended Complaint against all defendants / Third Amended Complaint (related document(s) 2 , 6 , 1 , 5) Filed by Timothy S. Pfeifer on behalf of Irving H. Picard. (Attachments: # 1 Exhibit A# 2 Exhibit B Part 1# 3 Exhibit B Part 2# 4 Exhibit C) (Pfeifer, Timothy) (Entered: 06/08/2011)
69	7/21/2009	13	–	Order signed on 7/21/2009 Granting Plaintiff's Motion for Leave to File Second Amended Complaint (Related Doc # 11). (Saenz De Viteri, Monica) (Entered: 07/21/2009)
70	7/13/2009	11	–	Motion to Approve /Trustee"s Motion for Leave to File Second Amended Complaint filed by Marc E. Hirschfield on behalf of Irving H. Picard. (Attachments: # 1 Notice of Presentment# 2 Proposed Order# 3 Second Amended Complaint# 4 Memorandum of Law in Support of Motion# 5 Appendix Certificate of Service) (Hirschfield, Marc) (Entered: 07/13/2009)
71	5/8/2009	5	–	Amended Complaint against Bank of Bermuda, Limited, Kingate Euro Fund, Ltd., Kingate Global Fund, Ltd. (related document(s) 2 , 1) Filed by Thomas M. Wearsch on behalf of Irving H. Picard. (Wearsch, Thomas) (Entered: 05/08/2009)
72	4/17/2009	1	–	Complaint against Kingate Global Fund, Ltd., Kingate Euro Fund, Ltd., Bank of Bermuda, Limited . Nature(s) of Suit: (12 (Recovery of money/property - 547 preference)), (11 (Recovery of money/property - 542 turnover of property)) Filed by Irving H. Picard. (Wearsch, Thomas) (Entered: 04/17/2009)